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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/723,574 **TRANSMITTAL** Filing Date 11/26/2003 **FORM** First Named Inventor Ba-Zhong Shen, Ph.D. Art Unit 2133 (to be used for all correspondence after initial filing) **Examiner Name** n/a Attorney Docket Number BP3134 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Garlick, Harrison & Markison, LLP (USPTO CN 34399) Firm Shayne X. Short, Ph.D. (Reg. No. 45,105) Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Janice Ivy Date 8/31/04 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Ba-Zhong Shen, Ph.D.,

Examiner: n/a

Hau Thien Tran, and Dr. Kelly Brian Cameron

Application Serial No: 10/723,574

Art Group: 2133

Application Filing Date: 11/26/2003

Confirmation No: 9932

Patent No: n/a

**Attorney Docket No: BP3134** 

ratent No. II/a

Patent Issue Date: n/a

Title: LDPC (Low Density Parity Check) coded modulation hybrid decoding

Date: Tuesday, August 31, 2004 (08/31/04)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir or Madam:

It is requested that the enclosed reference(s) listed on the attached Information Disclosure Statement by Applicant be considered by the Examiner in connection with the above-identified patent application and be made of record therein. A European Search Report has been made for a related EP patent application. The cover letter provided by our foreign associate and the actual European Search Report are being submitted herewith in addition to copies of the reference(s) cited within the European Search Report.

#### Certification Under 37 C.F.R. § 1.97(e)(1)

Independent consideration and acknowledgment of the enclosed references is respectfully requested.

The Examiner is invited to contact the undersigned by telephone or facsimile if the Examiner believes that such a communication would advance the prosecution of the present patent application, or if the Examiner has any additional questions or concerns.

RESPECTFULLY SUBMITTED,

Bv:

Shayne X. Short, Ph.D.

Reg. No. 45,105

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known				
Application Number	10/723,574			
Filing Date	11/26/2003			
First Named Inventor	Ba-Zhong Shen, Ph.D.			
Art Unit	2133			
Examiner Name	n/a			
Attorney Docket Number	BP3134			

U. S. PATENT DOCUMENTS							
Examiner Cite Initials* No.1		Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear		
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FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		EP 0836305 A2	04-15-1998	British Telecommunicat		
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Examiner	Date	
Signature	Considered	

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				Application Number	10/723,574	
INFORMATION DISCLOSURE				Filing Date	11/26/2003	
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	(Use as many sheets as necessary)			Art Unit	2133	
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Sheet	2	of	2	Attorney Docket Number	BP3134	

	NON PATENT LITERATURE DOCUMENTS	
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	WADAYAMA 1: "A CODED MODULATION SCHEME BASED ON LOW DENSITY PARITY CHECK CODES" IEICE TRANSACTIONS ON FUNDAMENTALS OF ELECTRONICS, COMMUNICATIONS AND COMPUTER SCIENCES, INSTITUTE OF ELECTRONICS INFORMATION AND COMM. ENG. TOKYO, JP, vol. E84A, no. 10, October 2001 (2001—10), pages 2523—2527, XPOO1107784 ISSN: 0916—8508 * the whole document *	
	MITTELHOLZER T ET AL: "Reduced—complexity decoding of low density parity check codes for generalized partial response channels" IEEE TRANSACTIONS ON MAGNETICS, IEEE INC. NEW YORK, US, vol. 37, no. 2, pt1, March 2001 (2001—03), pages 721—728, XP002177074 ISSN: 0018—9464 * the whole document *	
	Cite No.1	Cite No. 1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  WADAYAMA 1: "A CODED MODULATION SCHEME BASED ON LOW DENSITY PARITY CHECK CODES" IEICE TRANSACTIONS ON FUNDAMENTALS OF ELECTRONICS, COMMUNICATIONS AND COMPUTER SCIENCES, INSTITUTE OF ELECTRONICS INFORMATION AND COMM. ENG. TOKYO, JP, vol. E84A, no. 10, October 2001 (2001—10), pages 2523—2527, XPOO1107784 ISSN: 0916—8508 * the whole document *  MITTELHOLZER T ET AL: "Reduced—complexity decoding of low density parity check codes for generalized partial response channels" IEEE TRANSACTIONS ON MAGNETICS. IEEE INC. NEW YORK, US, vol. 37, no. 2.

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered. Include copy or this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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